

# Materials Science of Electronic and Optoelectronic Devices

Tadao Tanabe

Nov. 16: Photonic Device-Basic

**Nov. 23: Photonic Device-Basic, Application**

Nov.30 Examination

# Basic of Photonic devices (Tanabe)

## (1) INTRODUCTION

What is LIGHT?

Application of light to our life

Relation between light and materials

## (2) Handling of LIGHT

Generation

Propagation :absorption

Condensing(space)

Condensing(time)/modulating

Amplification

Selecting

Detecting

## (3) Understanding of LIGHT for device fabrication

wavelength/frequency

linewidth

pulse duration

beam mode

polarization

power density

## (4) Photonic Technology

## (5) Applications

## (2) Handling of LIGHT

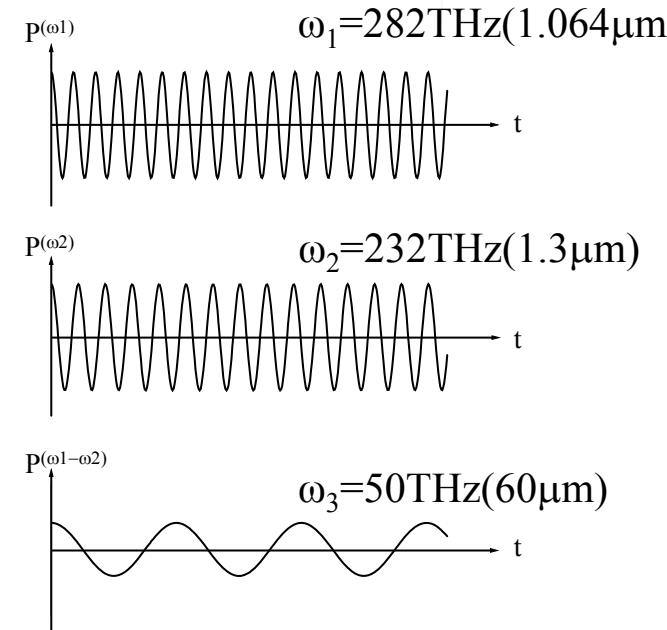
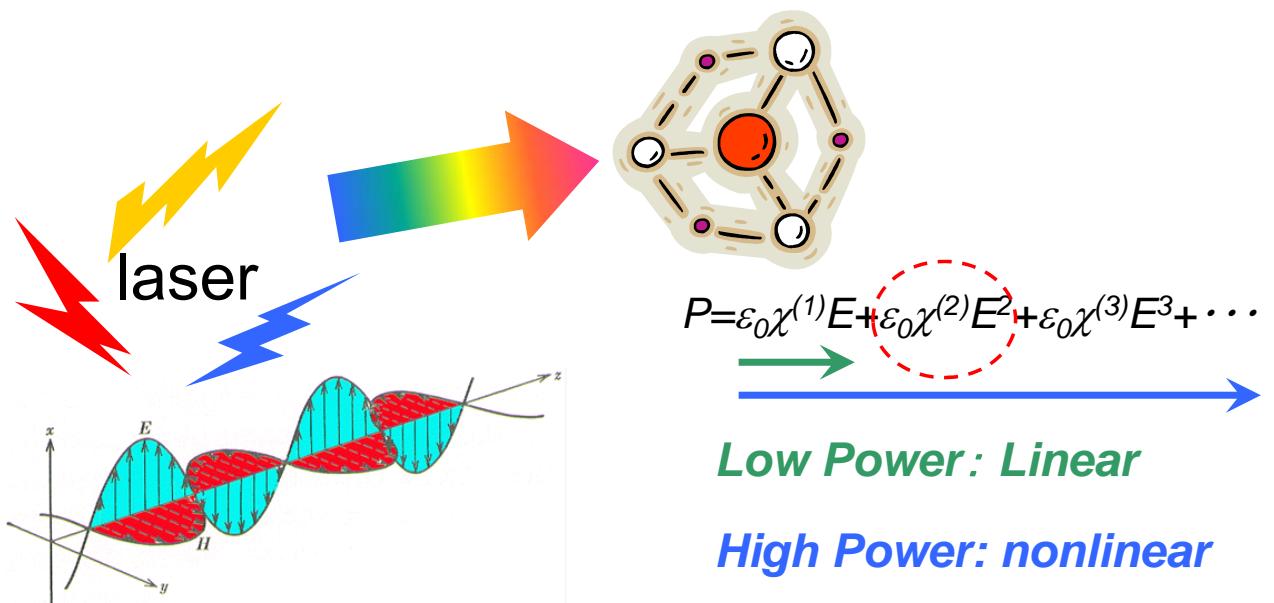
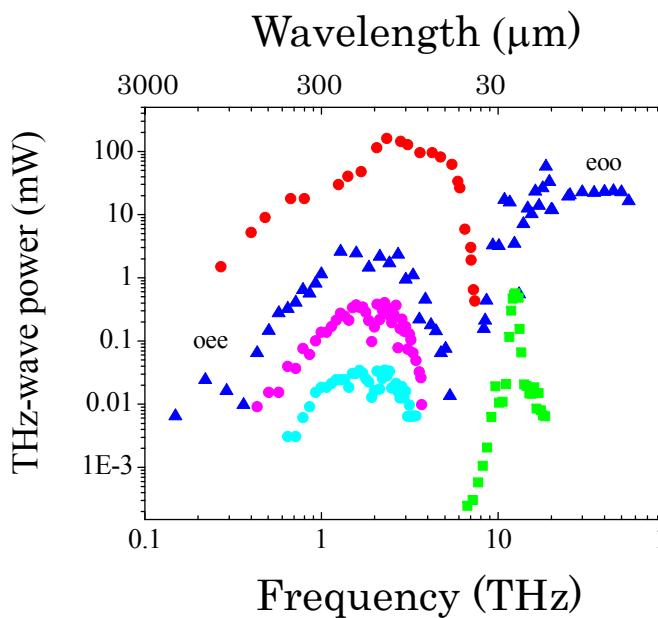
**Generation  
heating**

**energy gap in semiconductor**

**nonlinear optical process**

**(frequency-mixing: DFG, SFG, SHG)**

**difference-frequency generation (DFG)**



## (2) Handling of LIGHT

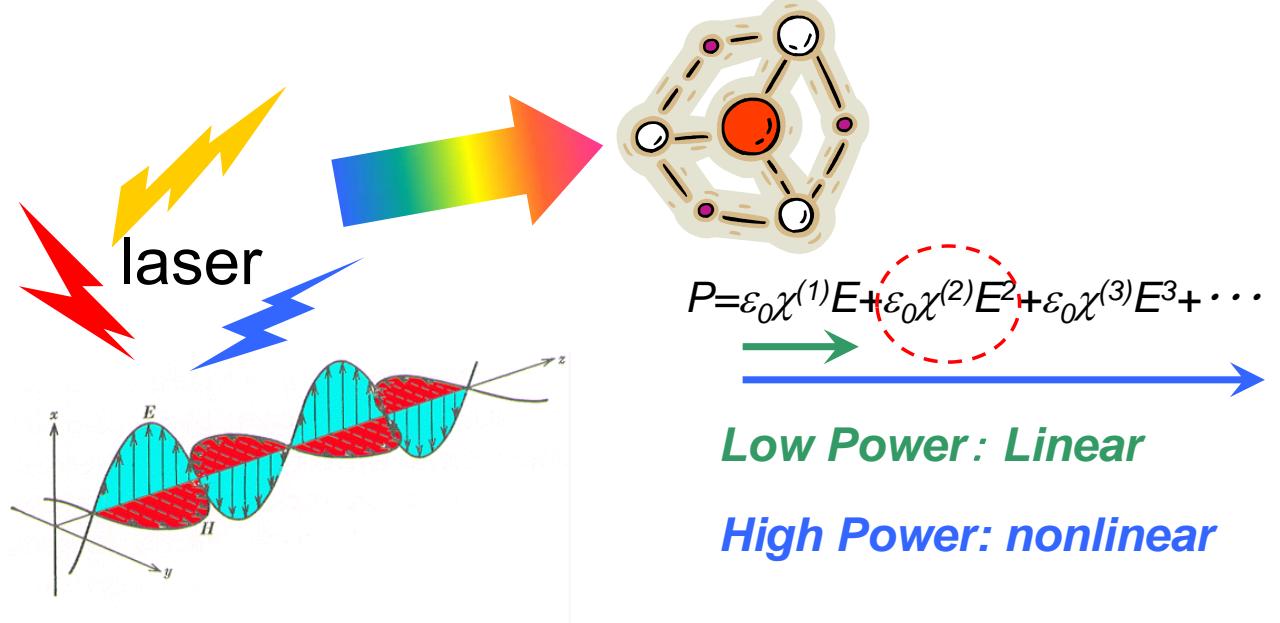
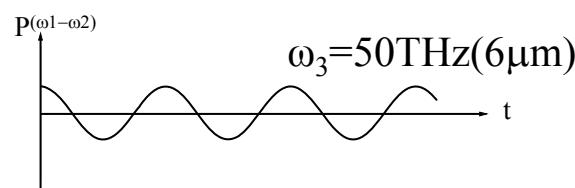
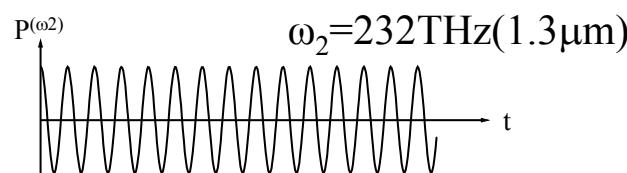
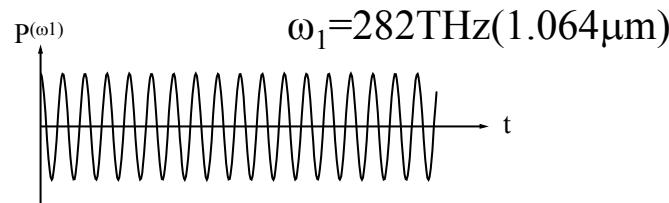
**Generation  
heating**

**energy gap in semiconductor**

**nonlinear optical process**

**(frequency-mixing: DFG, SFG, SHG)**

**difference-frequency generation (DFG)**



$$\text{DFG } \omega_3 = 50 \text{ THz} (6 \mu\text{m})$$

$$\text{SFG } \omega_3 = 514 \text{ THz} (0.584 \mu\text{m})$$

$$\text{THG: } 846 \text{ THz} (0.354 \mu\text{m})$$

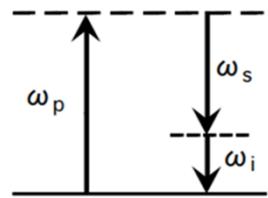
$$\text{SHG1 } \omega_3 = 564 \text{ THz} (0.532 \mu\text{m})$$

$$\text{SHG2 } \omega_3 = 464 \text{ THz} (0.647 \mu\text{m})$$

$$\text{FHG: } 1128 \text{ THz} (0.266 \mu\text{m})$$

SHG@1961

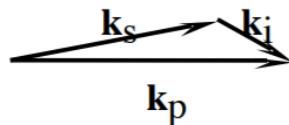
Michigan Univ. P.A. Franken, A.E. Hill, C.W. Peters, G. Weinreich



energy conservation law

$$\omega_p = \omega_s + \omega_i$$

$$1/\lambda_p = 1/\lambda_s + 1/\lambda_i$$



momentum conservation law

$$k_p = k_s + k_i \quad K = 2\pi n / \lambda$$

VOLUME 7, NUMBER 4

PHYSICAL REVIEW LETTERS

AUGUST 15, 1961

GENERATION OF OPTICAL HARMONICS\*

P. A. Franken, A. E. Hill, C. W. Peters, and G. Weinreich  
The Harrison M. Randall Laboratory of Physics, The University of Michigan, Ann Arbor, Michigan  
(Received July 21, 1961)

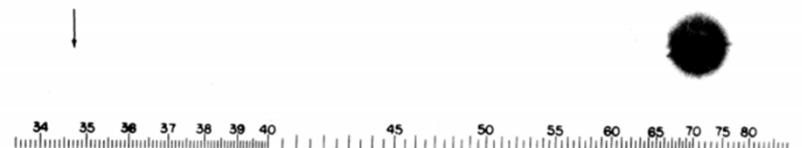


FIG. 1. A direct reproduction of the first plate in which there was an indication of second harmonic. The wavelength scale is in units of 100 Å. The arrow at 3472 Å indicates the small but dense image produced by the second harmonic. The image of the primary beam at 6943 Å is very large due to halation.

$$E = h\nu = h \frac{\omega}{2\pi} = h \frac{c}{\lambda} (= \hbar\omega)$$

$h$ : Planck constant

$\nu$ : Frequency

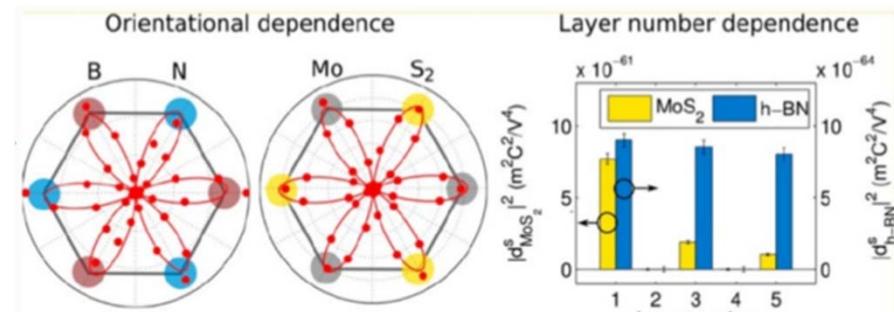
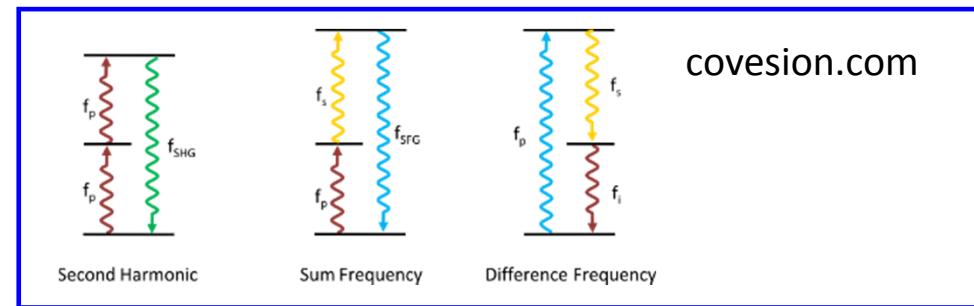
$\omega$ : angular frequency

$c$ : light speed ( $3 \times 10^8$ m/s)

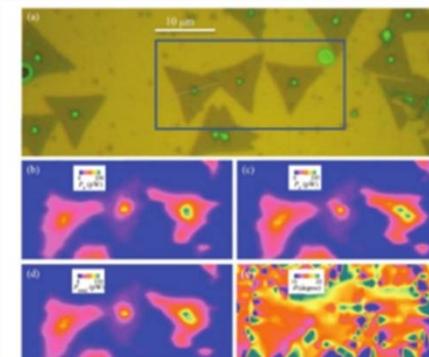
$\lambda$ : wavelength

## (2) Handling of LIGHT

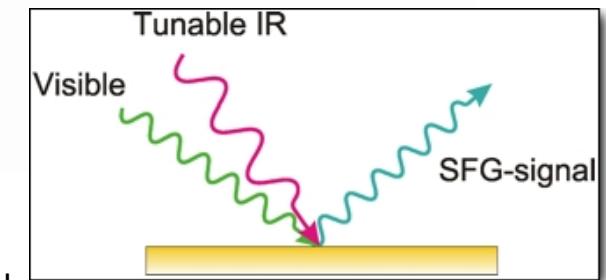
**Generation  
heating  
energy gap in semiconductor  
nonlinear optical process  
(frequency-mixing: DFG, SFG, SHG)**



Probing Symmetry Properties of Few-Layer MoS<sub>2</sub> and h-BN by Optical Second-Harmonic Generation Nano Lett. 13, 3329 (2013)



Second harmonic microscopy of MoS<sub>2</sub>  
PRB 87, 161403 (2013)



Claudio Attaccalite, CNRS researcher at Neel Institute Grenoble

nb.uw.edu

## (2) Handling of LIGHT

### Generation

heating

energy gap in semiconductor

nonlinear optical process

(frequency-mixing: DFG, SFG, SHG)

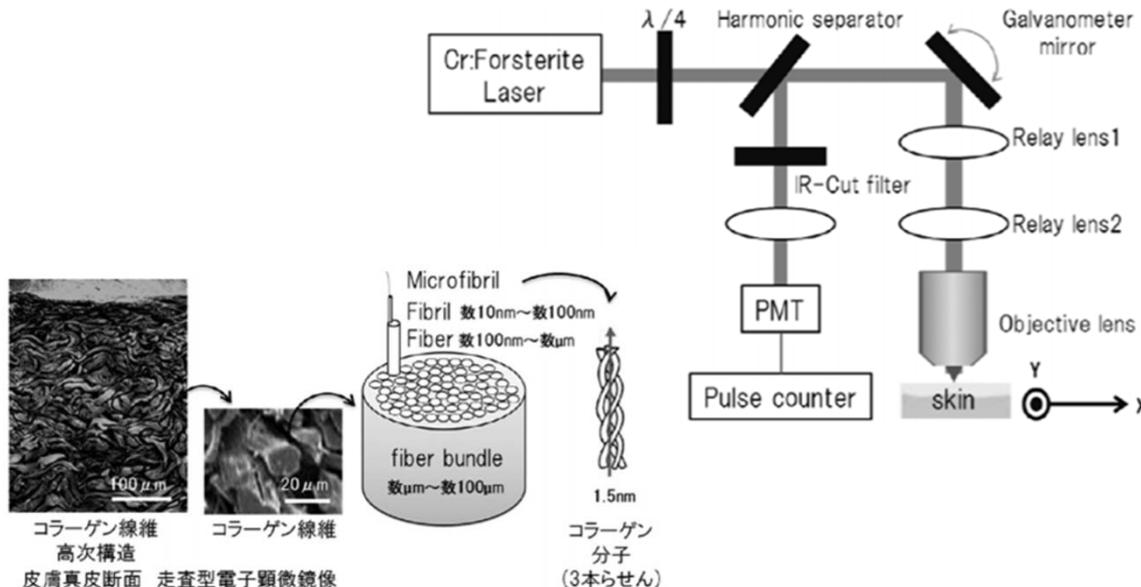


図 1 コラーゲン線維の高次構造。

Fig. 1 Hierarchical structure of collagen fiber.

Transactions of Japanese Society for Medical and Biological Engineering  
Vol. 55 (2017) No. 2 p. 91-96

Quantitative Evaluation of Collagen Fiber Structure in Human Dermis Based on Two-Dimensional Auto-Correlation Analysis of SHG (Second Harmonic Generation) Image

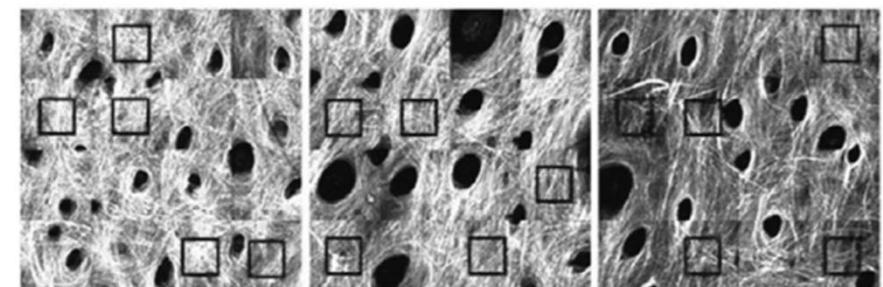


図 6 各年代被験者における頬皮膚の大面積 SHG イメージと画像解析に用いた領域（黒枠）。

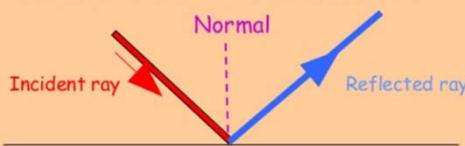
Fig. 6 Large-area SHG images (image size = 1.6 mm × 1.6 mm, pixel size = 512 pixel × 512 pixel), probing depth (= 70–100 μm from epidermis) of subjects in their 20s, 40s, and 60s. Black holes indicate appendages (including hair follicles)

## (2) Handling of LIGHT

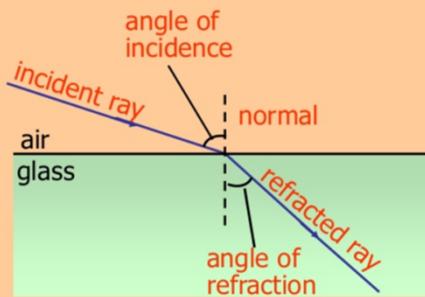
**Propagation :absorption**  
in air, liquid and solid  
reflection, refraction, diffraction, absorption and scattering  
waveguide  
optical fiber

### Properties of Light

- ❖ Reflection = when light strikes smooth shining surface it returns back into same medium.



- ❖ Refraction = When light enters from one transparent medium into another , it changes its path.



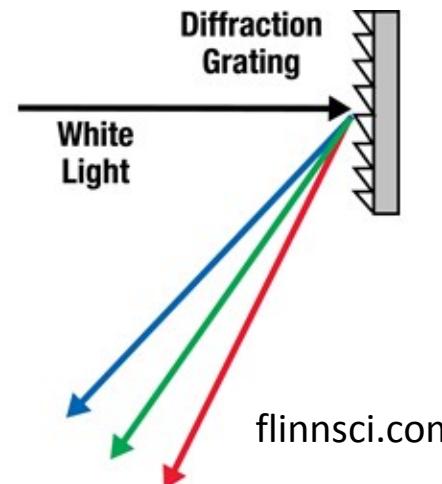
### Absorption

$$\alpha = -\frac{\ln(\frac{T_1}{T_2})}{x_1 - x_2} \quad T : \text{Transmittance}$$

X<sub>1</sub>, X<sub>2</sub> : Thickness

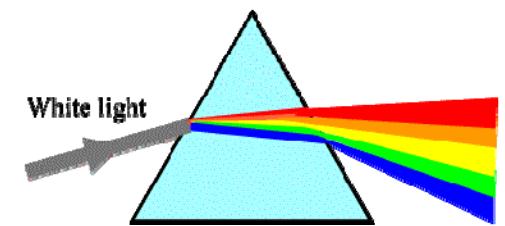
$$T\% = (100-R) e^{-\alpha \cdot x}$$

### Diffraction



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### Refraction through a prism



physics.louisville.edu

## (2) Handling of LIGHT

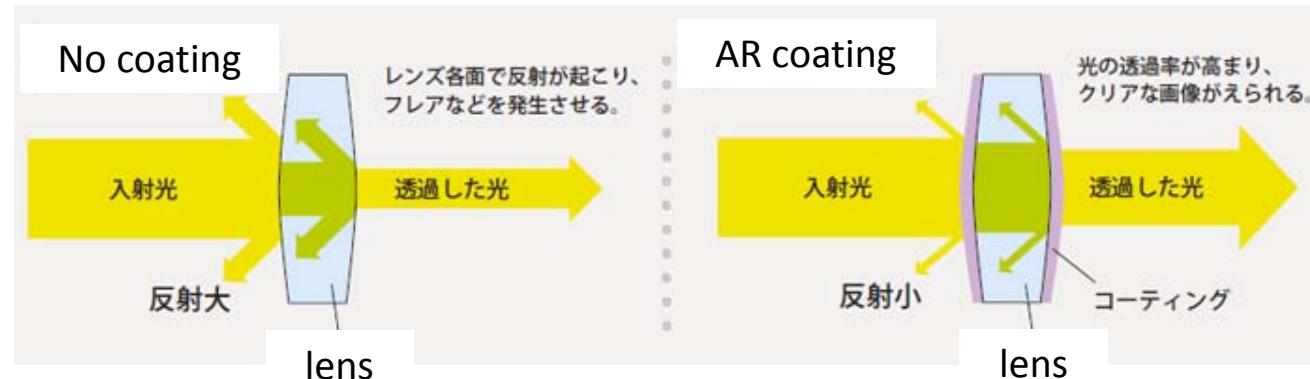
Propagation :absorption  
in air, liquid and solid

:reflection, refraction, diffraction, absorption and scattering

waveguide

optical fiber

### Anti-Reflection coating



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## (2) Handling of LIGHT

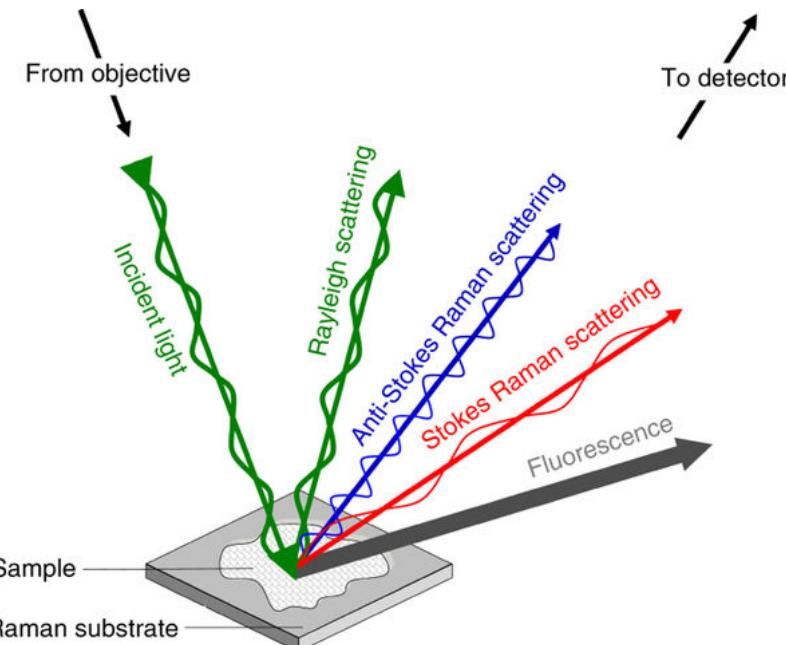
Propagation :absorption

in air, liquid and solid

:reflection, refraction, diffraction, absorption and scattering

waveguide

optical fiber



Nature Protocols 11, 664–687 (2016)

Particle  $< \frac{1}{10} \lambda$   
( $<50\text{nm}$ )

Rayleigh's  
Scattering



$$Q \propto \frac{r}{\lambda}$$

$\frac{1}{10} \lambda < \text{Particle} < \lambda$   
( $50\text{-}500\text{nm}$ )

Mie Scattering



$$Q \propto C + "cos(\frac{r}{f})e^{-k(\frac{r}{f})}"$$

Particle  $> \lambda$   
( $>1\mu\text{m}$ )

Optical  
Scattering



$$Q \propto C$$



SUNSET



white clouds

iLectureonline

ccs-inc.co.jp

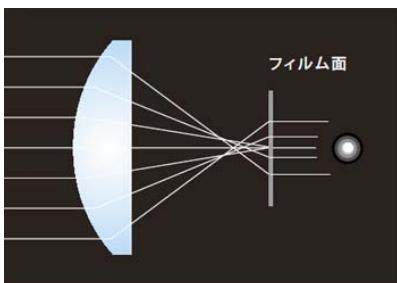
## (2) Handling of LIGHT

### Condensing(space)

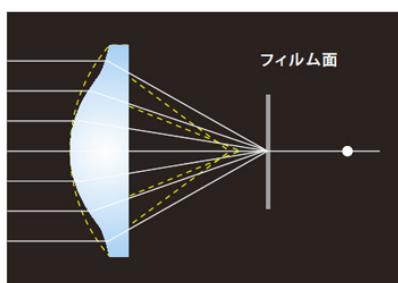
index lens

parabolic mirror

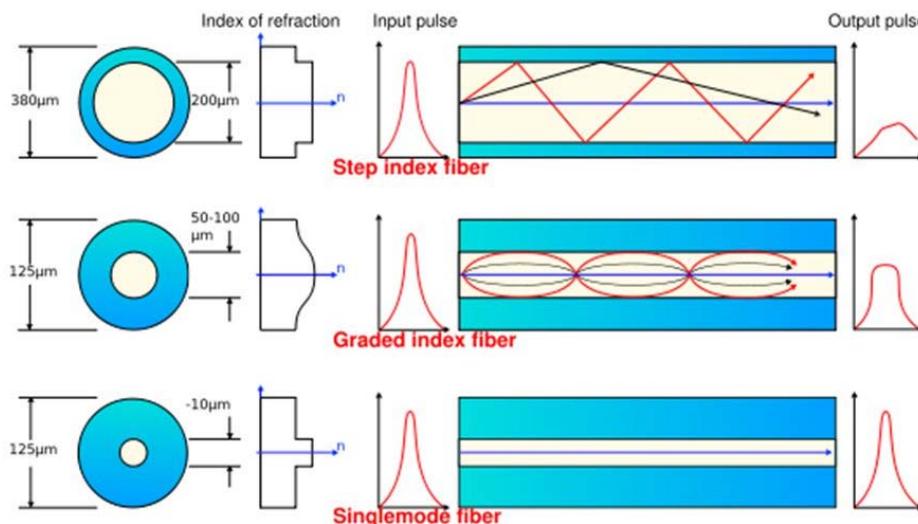
Spherical Lens



Aspherical Lens



[panasonic.com](http://panasonic.com)



Standardní optická vlákna



Scientific Background  
Nobel Prize in Physics 2009

where  $P(0)$  and  $P(L)$  are the input and output power respectively, and  $L$  is the fiber length. The attenuation of the first optical fibers was typically 1000 dB/km, implying that only 1 % of light got transmitted in twenty meters of fiber. Other options, such as guiding of light through sequences of lenses or even gas tubes with temperature gradients to focus light were proposed and sometimes tested, but without much success. Various waveguides in the optical region were investigated. Both A.E. Karbowiak at STL (The Standard Telecommunication Laboratories), Harlow, UK and J.C. Simon and E. Spitz at CSF (Compagnie générale de télégraphie Sans Fil) in France realized that propagation of single modes into waveguides (for example, thin films) should be beneficial to optical communication, reducing dispersion and propagation losses. At Tohoku University, Japan (J.-I. Nishizawa, I. Sasaki) as well as at Bell laboratories, USA (S.A. Miller), optical fibers with a varying refractive index were proposed. In a gradient-index fiber, dispersion effects arising because spatial modes propagate at different velocities in the fiber are reduced compared to the step-index multimode fiber (see Fig. 2). These fibers were going to be exploited later, being the first-generation optical fibers to be used at 870 nm. However, none of the solutions could find any satisfactory remedy to the attenuation problem.

Charles K. Kao was a young engineer at STL working on optical communication. He started under the direction of Karbowiak, and then became in charge of a small group, which at first had only one coworker, G.A. Hockham. Kao was born in 1933 in Shanghai, China, and educated in Hong-Kong. He graduated in Electrical Engineering in 1957 at University of London and got a PhD at the University of

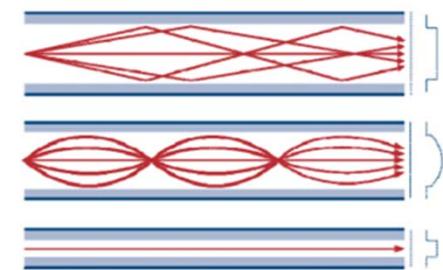
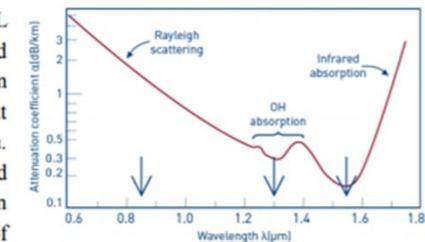
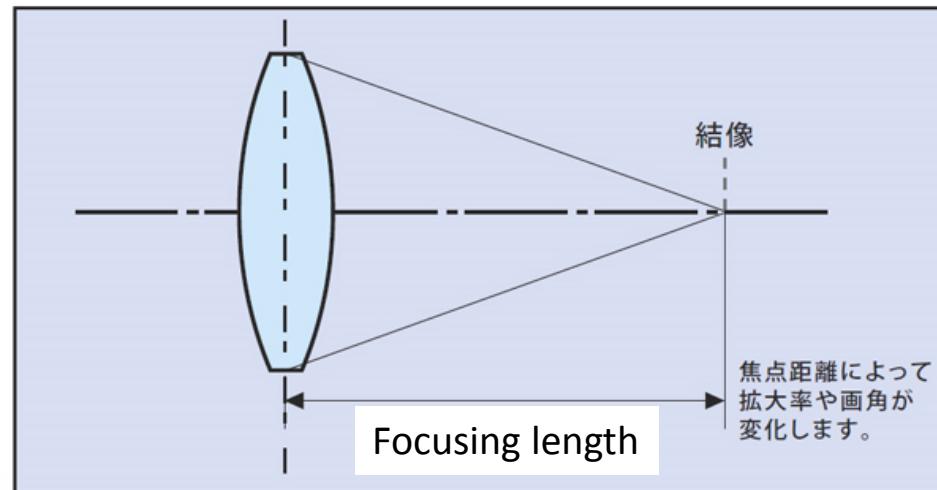


Fig. 2: Different types of fibers, step-index multimode, single mode and gradient index multimode. The propagation of a few rays is also indicated in red, as well as the distribution of the refractive index to the right.

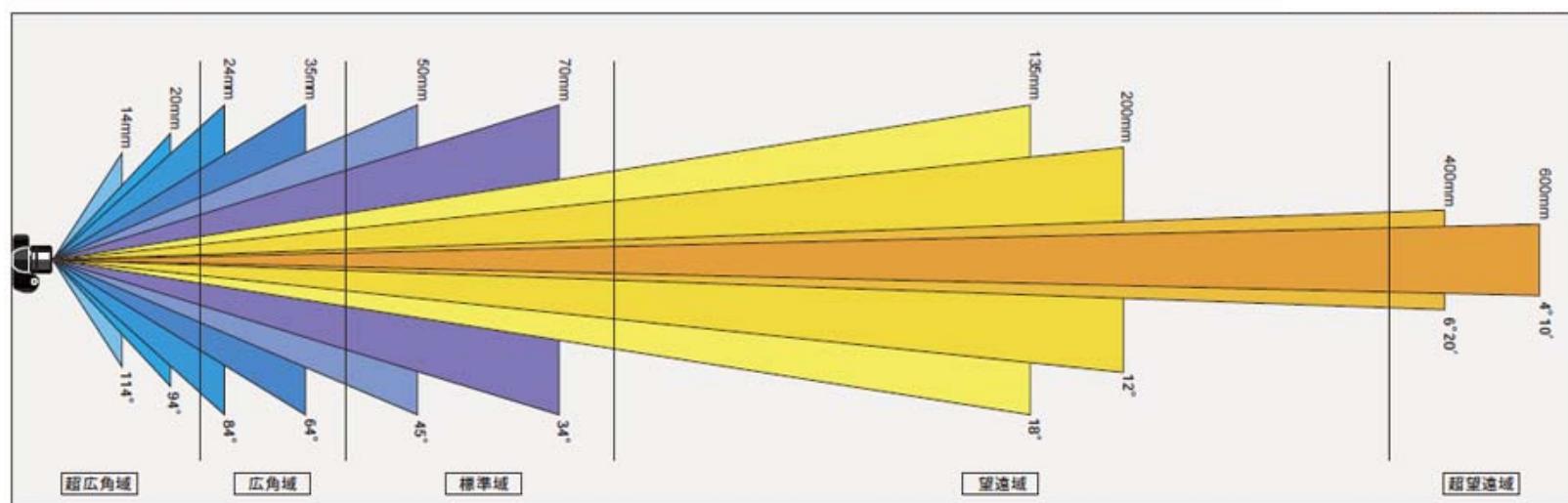


## (2) Handling of LIGHT

Condensing(*space*)  
index lens  
parabolic mirror

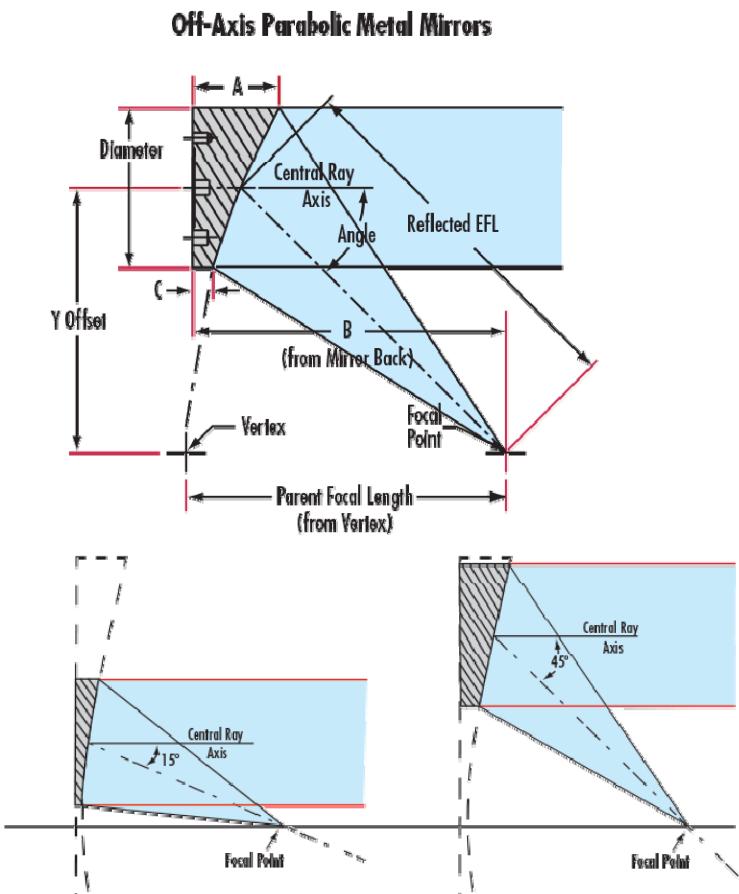


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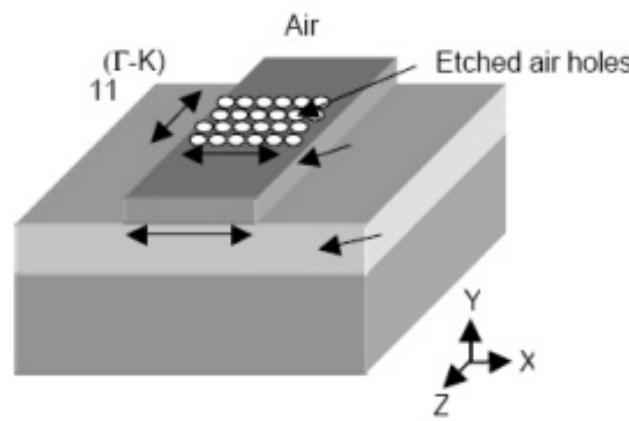
## (2) Handling of LIGHT

### Condensing(space) index lens parabolic mirror

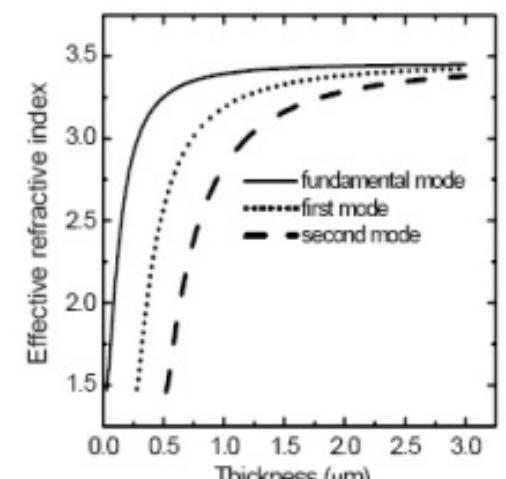


edmundoptics.jp

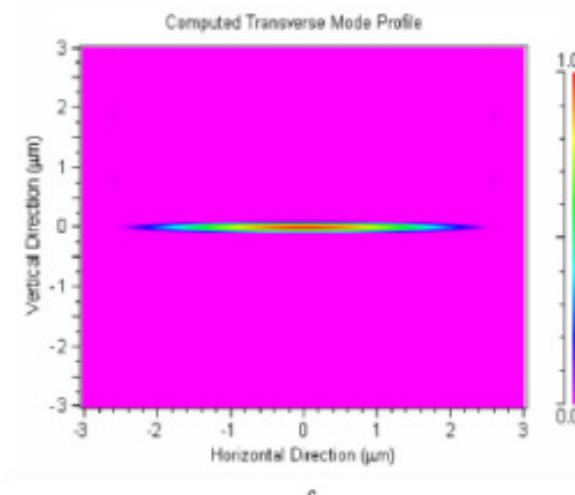
### Photonic Crystal



a



b

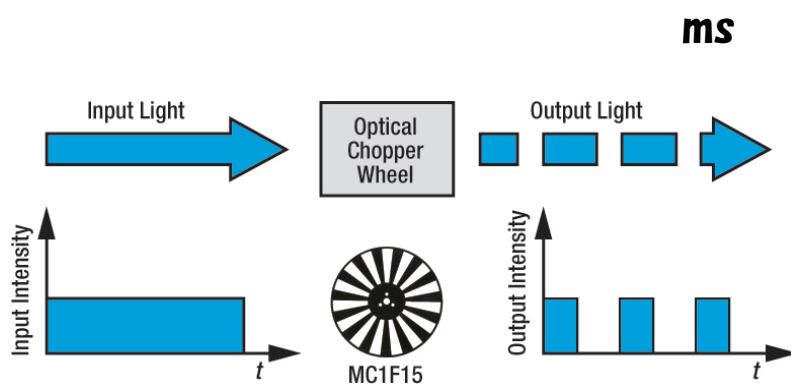


c

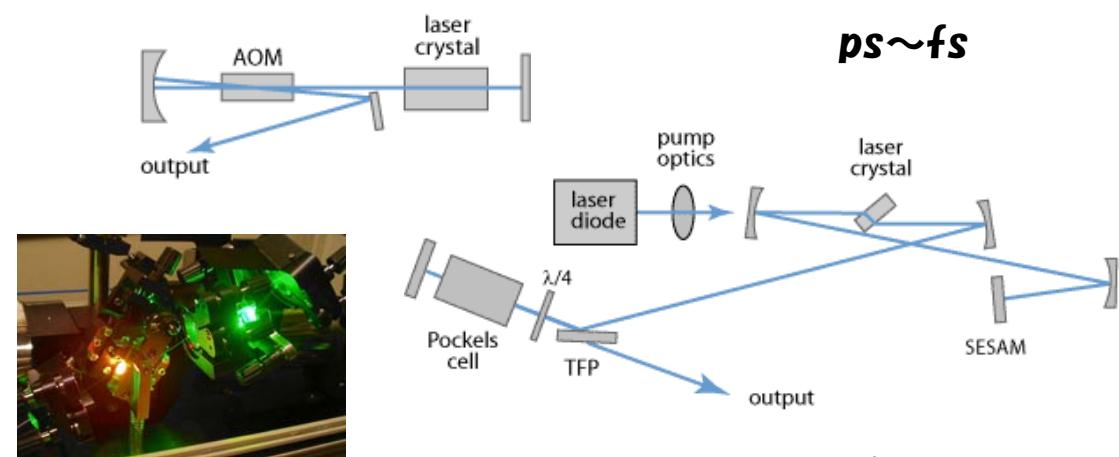
Optics Express Vol. 12, Issue 17, pp. 3934-3939 (2004)

## (2) Handling of LIGHT

**Condensing(time)/modulating  
shutter  
mode lock  
Q-switching**



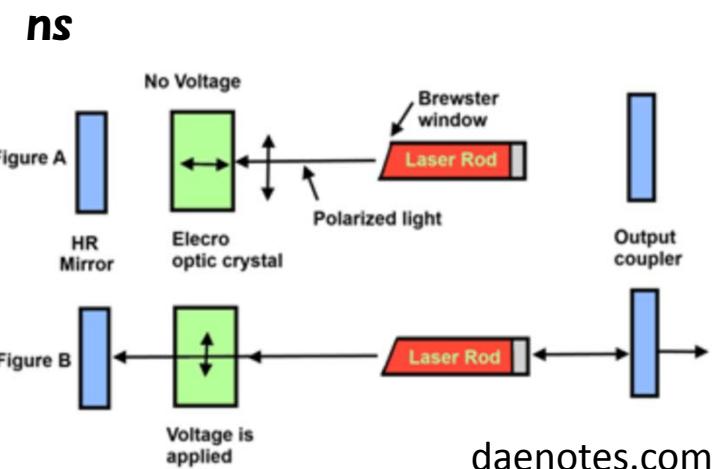
[thinksrs.com](http://thinksrs.com)



[rp-photonics.com](http://rp-photonics.com)



[peopletoday24.com](http://peopletoday24.com)



[daenotes.com](http://daenotes.com)

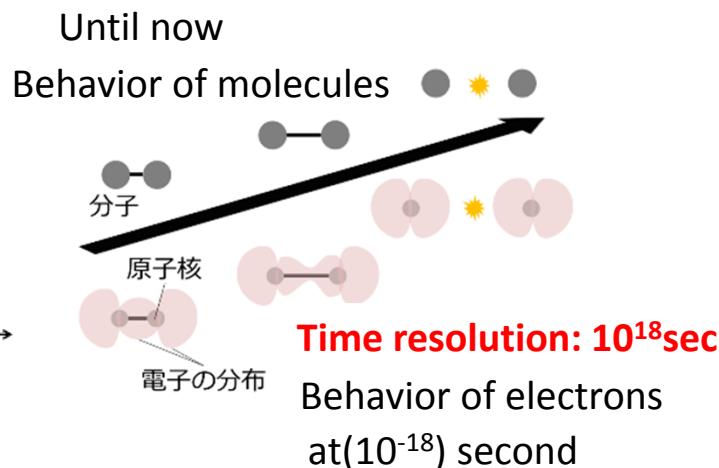
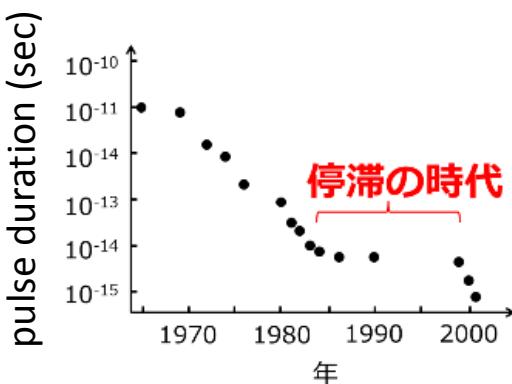
## (2) Handling of LIGHT

**Condensing(time) / modulating  
shutter**  
**mode lock**  
**Q-switching**

Nobel Prize in Chemistry 1999

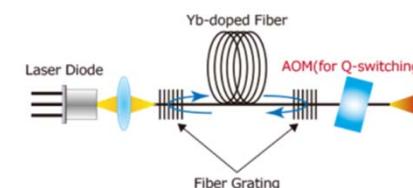
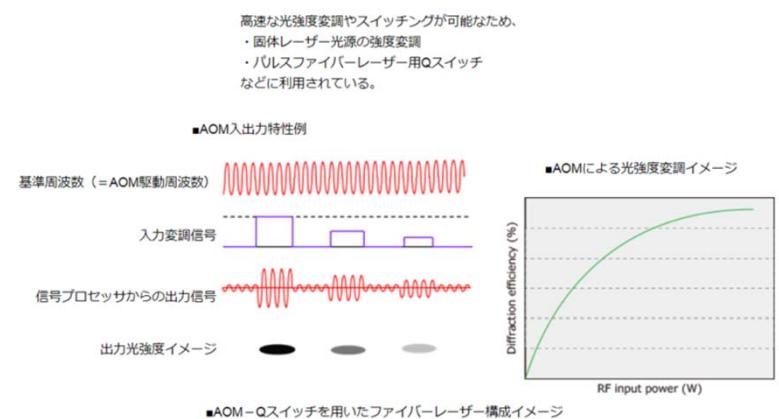
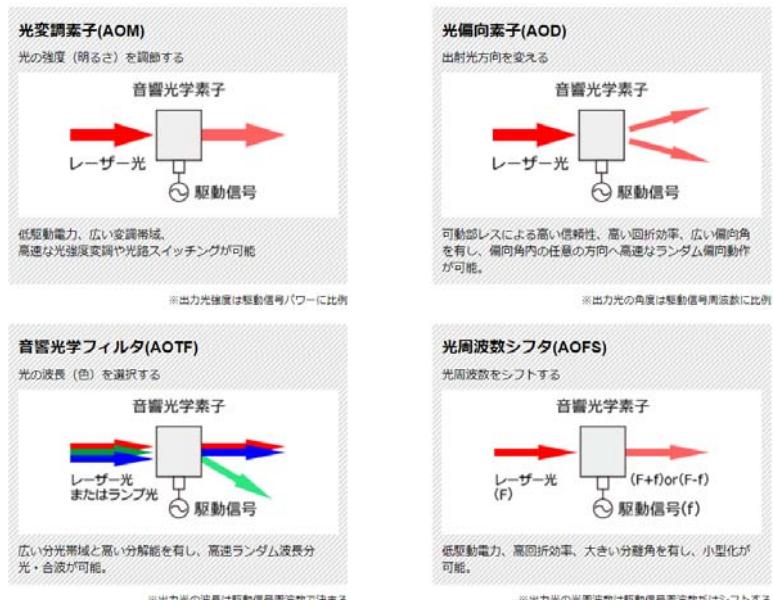
Ahmed Zewail

investigation of fundamental chemical reactions, using ultra-short laser flashes, on the time scale on which the reactions actually occur.



坪井淳子  
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NATIONAL MUSEUM OF EMERGING SCIENCE AND INNOVATION



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## (2) Handling of LIGHT

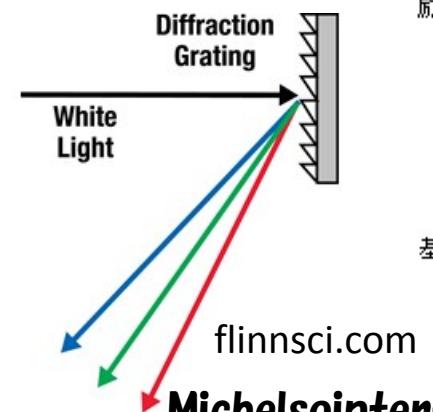
### Amplification

**stimulated emission in fiber**

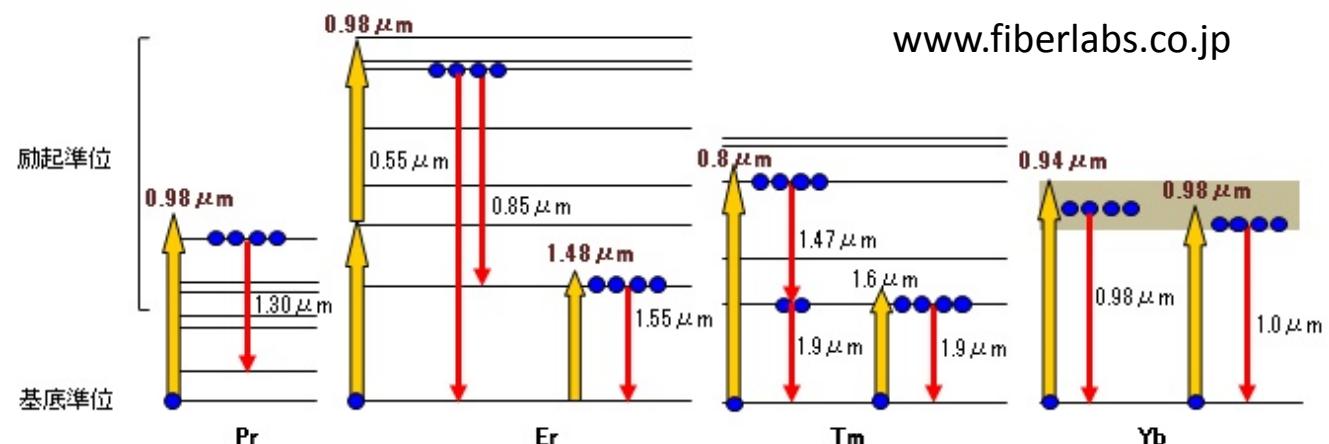
Raman effect

### Selecting

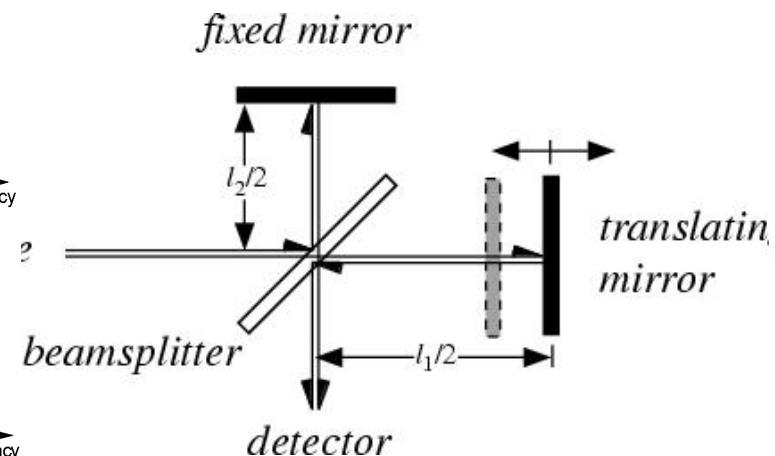
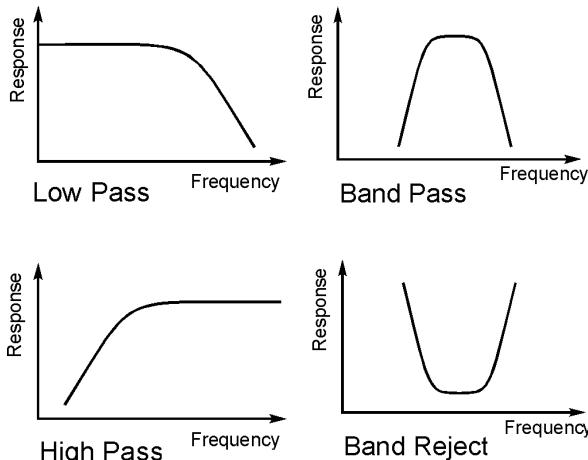
**filter  
grating  
interference  
Raman effect**



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### Filter



<http://hank.uoregon.edu>

### Fabry-Perot interferometer

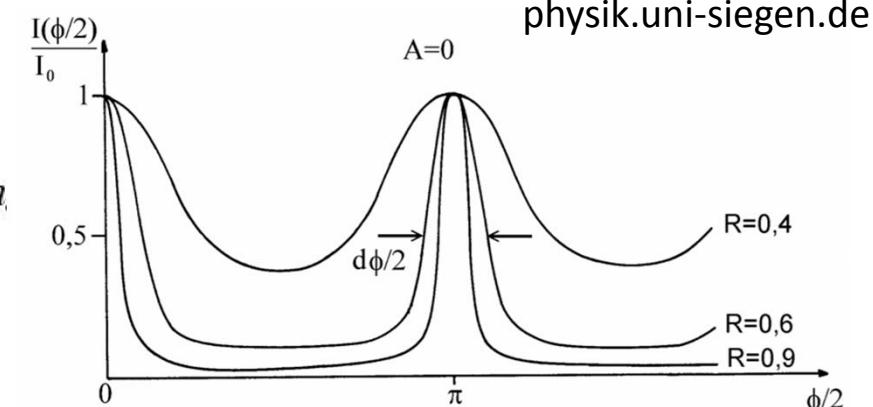
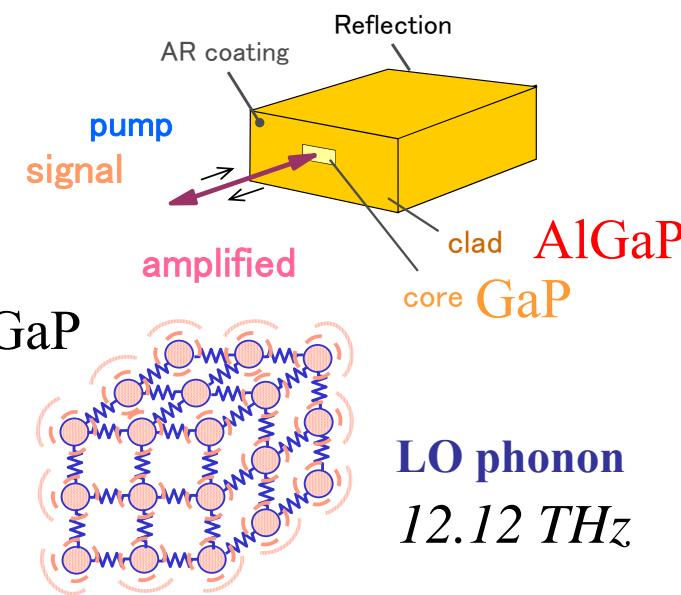


Figure 3: Airy function for different reflection coefficients  $R$

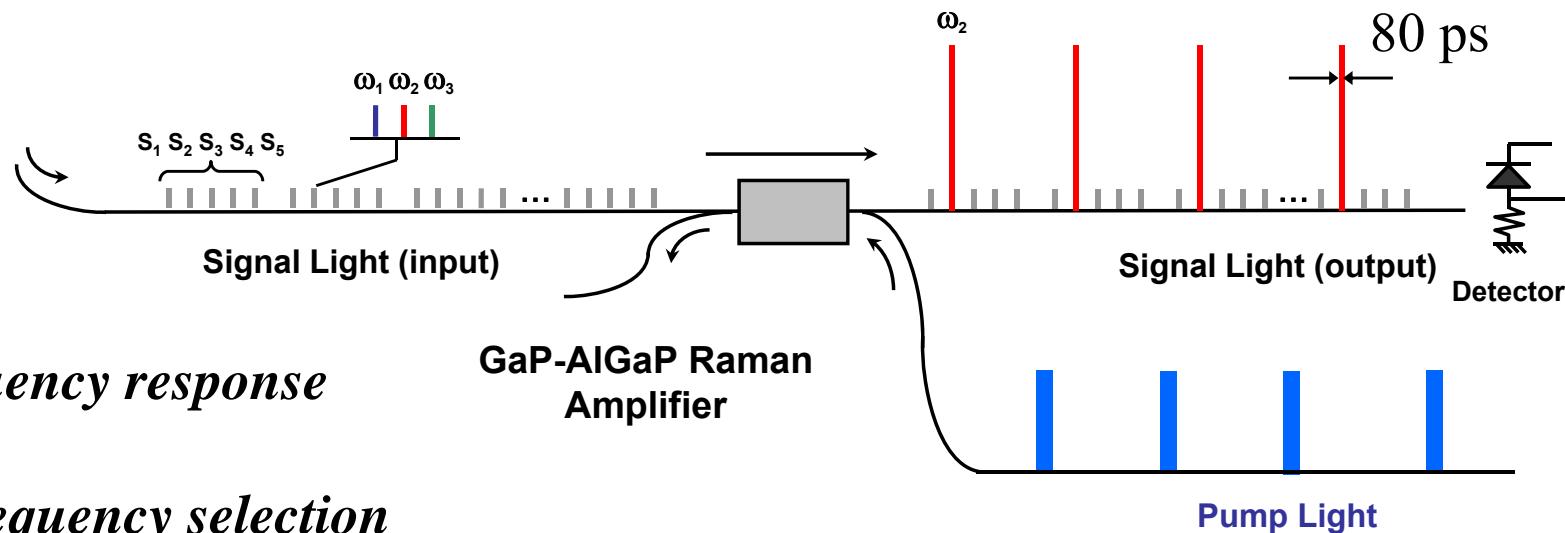
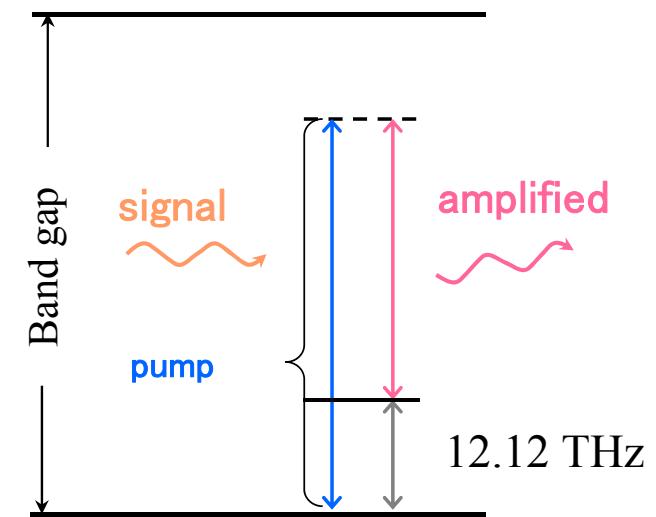
## (2) Handling of LIGHT

**Amplification**  
stimulated emission in fiber  
**Raman effect**

**Selecting**  
filter  
grating  
interference  
**Raman effect**



▪ Stimulated Raman Amplifier



- *high-frequency response*
- *high gain*
- *narrow-frequency selection*

## (2) Handling of LIGHT

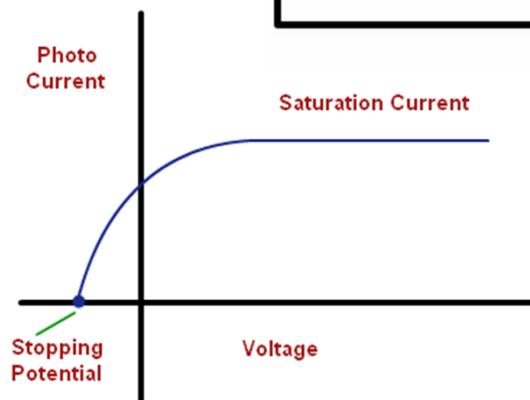
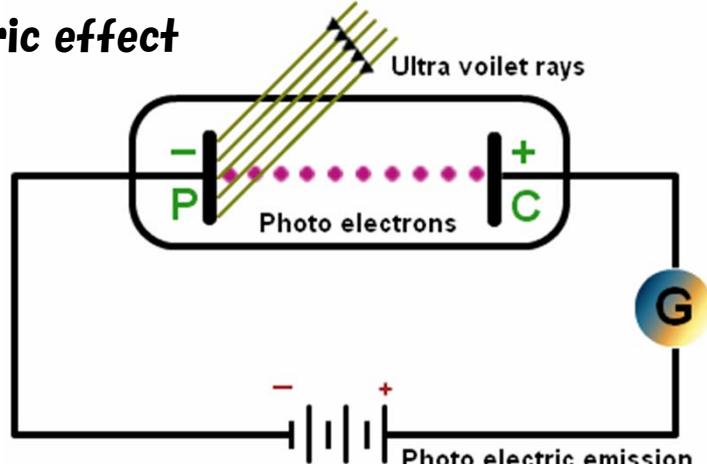
Detecting

**photoelectric effect**

**energy gap in semiconductor**

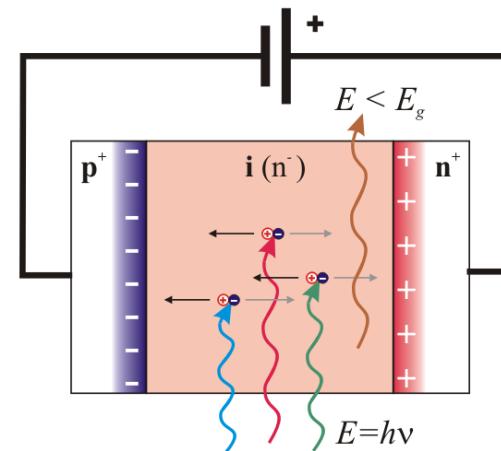
**bolometer/pyroelectric effect**

**photoelectric effect**



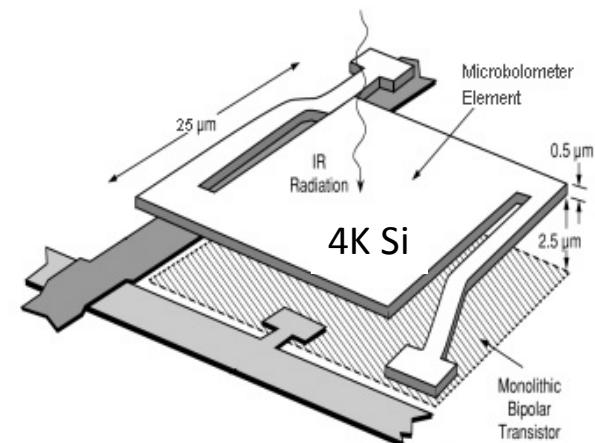
[physics.tutorvista.com](http://physics.tutorvista.com)

**energy gap in semiconductor**



[physicsopenlab.org](http://physicsopenlab.org)

**bolometer/pyroelectric effect**



[optotherm.com](http://optotherm.com)

### (3) Understanding of LIGHT conditions

wavelength/frequency

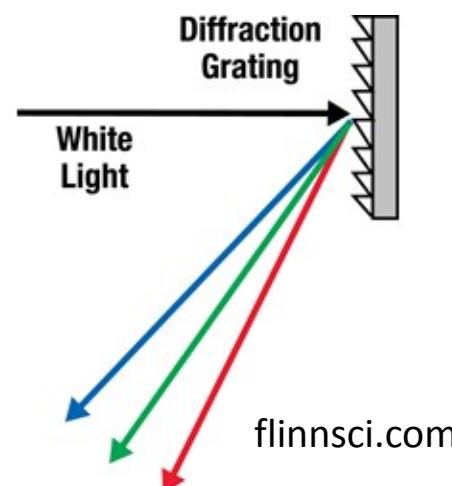
linewidth

pulse duration : propagation distance

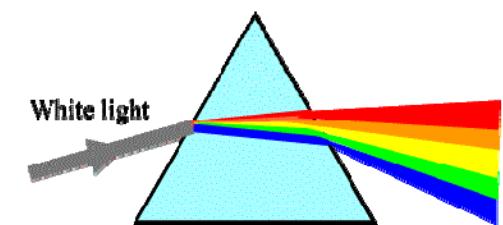
beam mode

polarization

power density : beam diameter

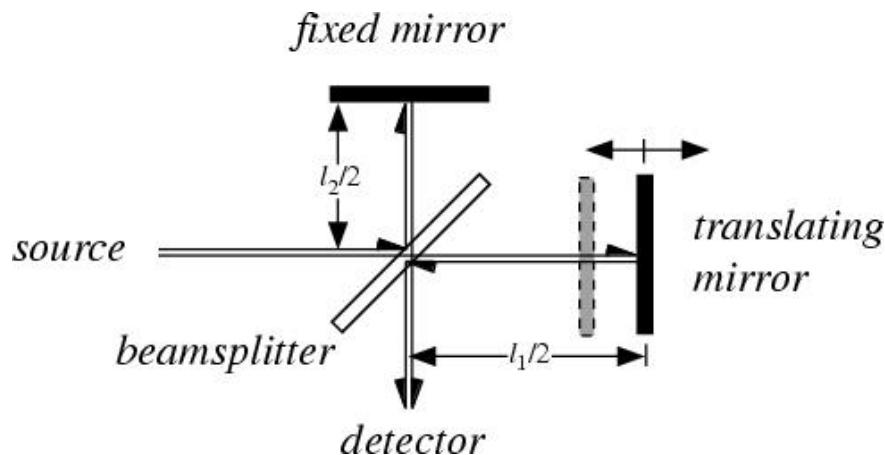


Refraction through a prism



physics.louisville.edu

Michelson interferometer



<http://hank.uoregon.edu>

Fabry-Perot interferometer

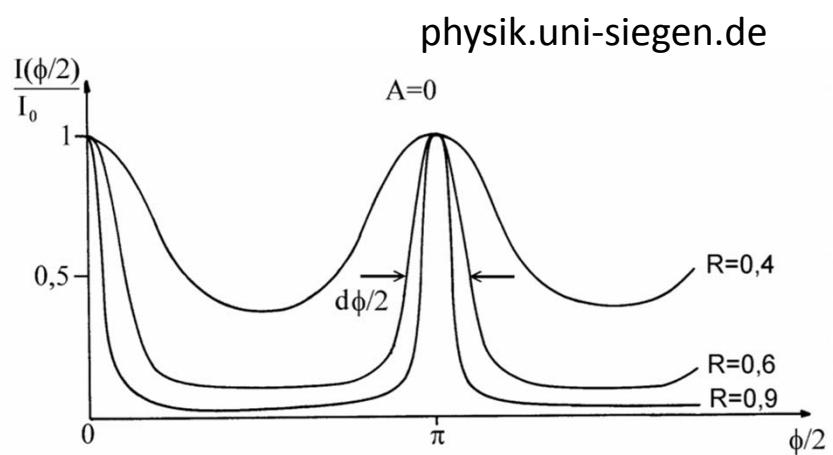


Figure 3: Airy function for different reflection coefficients  $R$

### (3) Understanding of LIGHT conditions

wavelength/frequency

linewidth

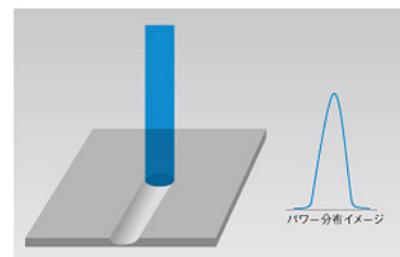
pulse duration

beam mode

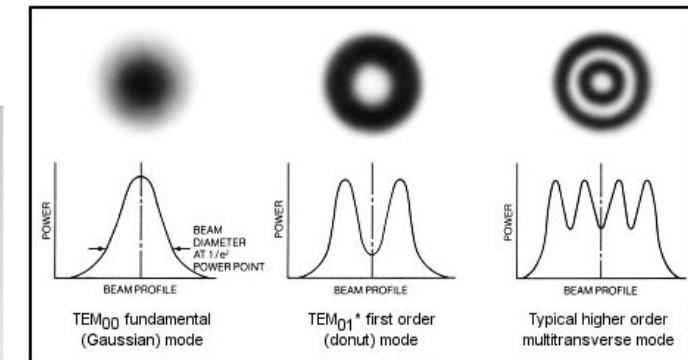
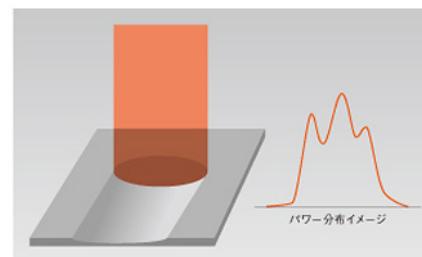
polarization

power density :beam diameter

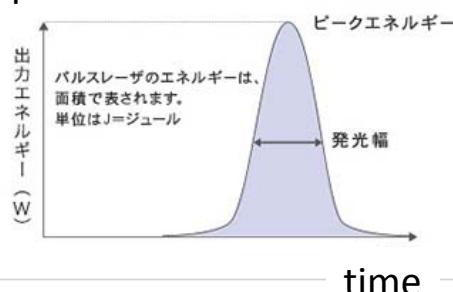
single mode



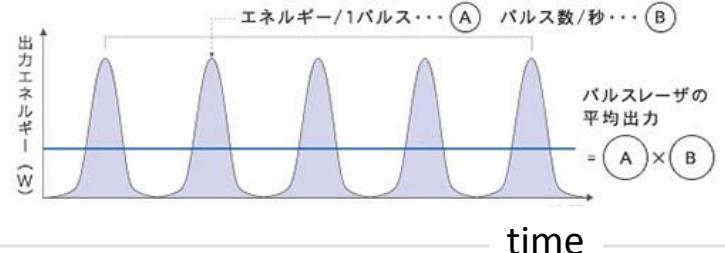
multi mode



■ peak power



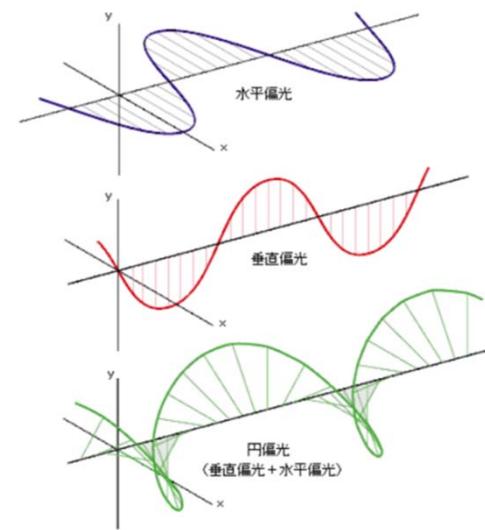
■ average power



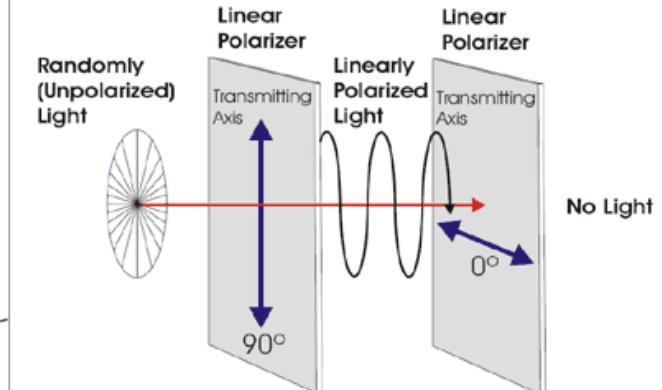
keyence.co.jp

omron.co.jp

jp.laserto.com



狩野覚先生資料より



apioptics.com

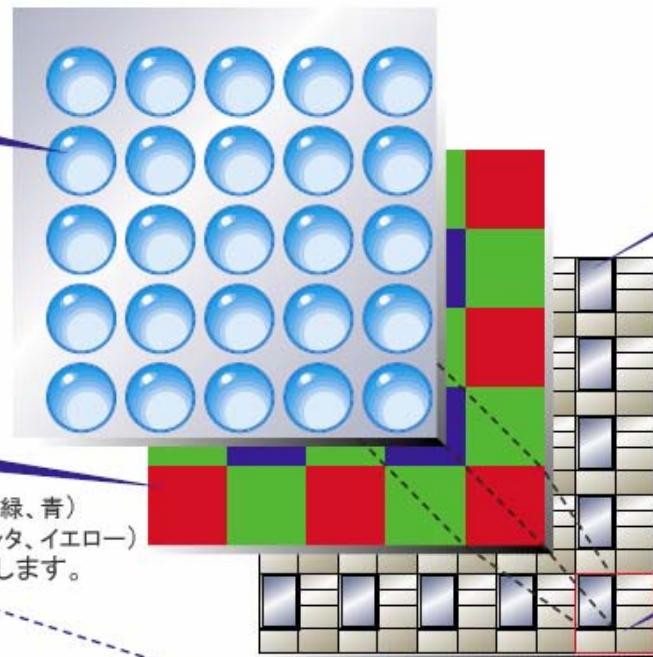
# CCD / CMOS

## Micro Lens マイクロレンズ

レンズを通った光を  
フォトダイオードのセルに  
効率よく集めるための集光  
レンズです。

## Color Filter カラーフィルター

光の色をいったんRGB(赤、緑、青)  
あるいはCMY(シアン、マゼンタ、イエロー)  
成分に分解するはたらきをします。

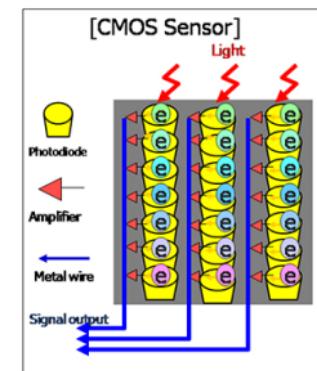
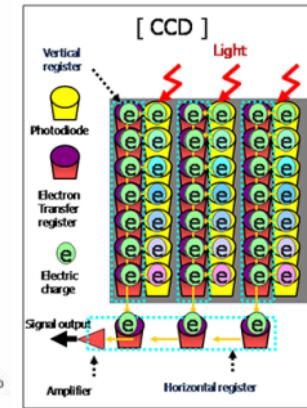


## PIN diode フォトダイオード

光が当たると電荷(電子)を  
発生する光電変換のはたらき  
をします。  
画素ごとの明るさに応じて、  
電子を垂直および水平方向  
に転送。CCDの出力段で電  
子量を電圧に変換して画素  
ごとの画像出力が得られます。

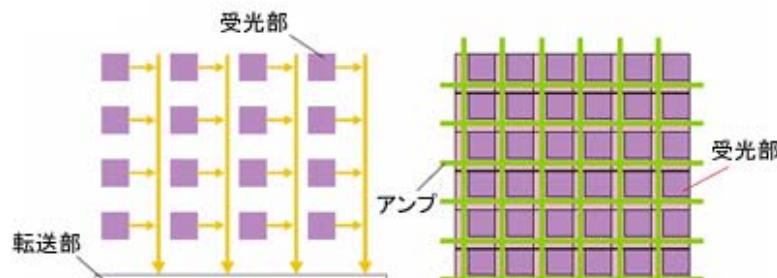
光の強さに応じた電荷(電子)の流れ。  
光を電気の量に置き換えます。

## Unit Cell 1画素が1ユニットセル

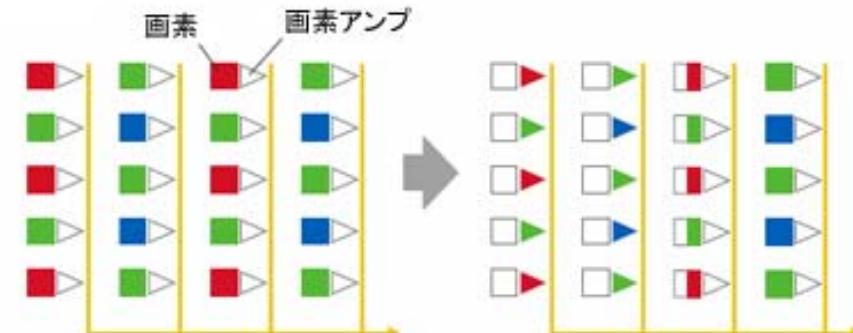


[sonyalpharumors.com](http://sonyalpharumors.com)

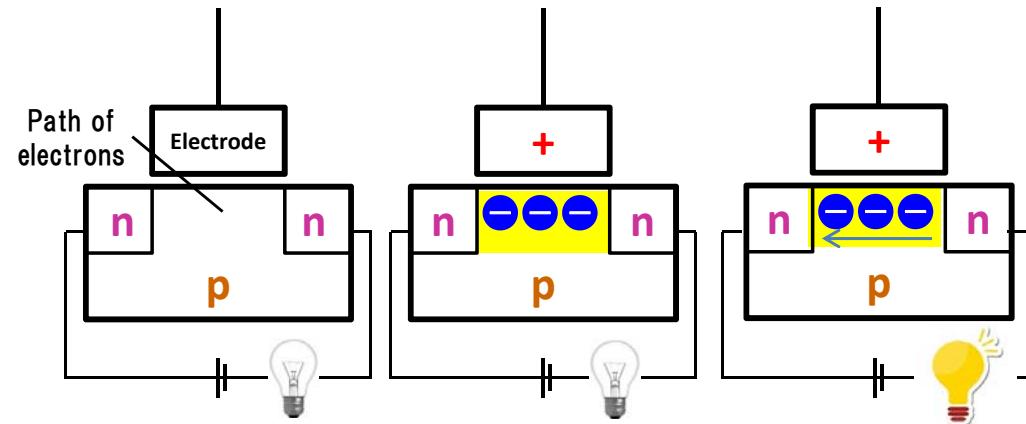
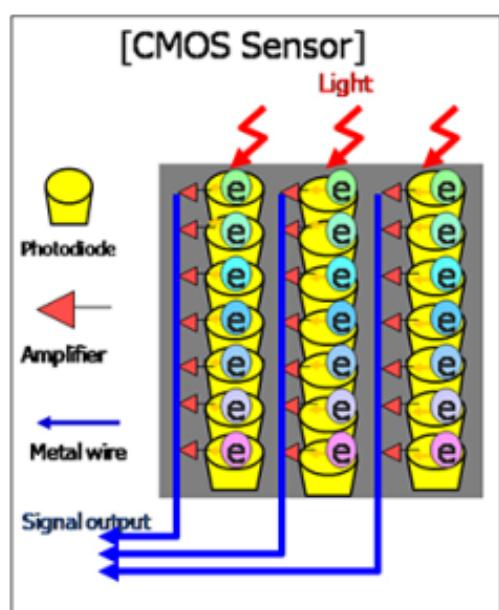
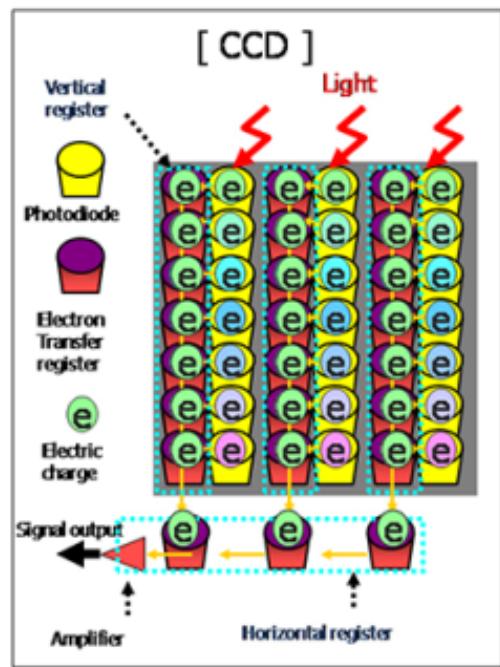
[panasonic.com](http://panasonic.com)



Charge Coupled Device



Complementary Metal Oxide Semiconductor



[sonyalpharumors.com](http://sonyalpharumors.com)